

18351
US-PTO

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	SAM1.PAU.14D
First Inventor	Humpleman, et al.
Title	METHOD AND APPARATUS FOR A HOME
Express Mail Label No.	EV 319437480US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification 37 Total Pages
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 19]
5. Oath or Declaration [Total Pages 3]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation☒ Divisional☐ Continuation-in-part (CIP)

of prior application No.: 09, 104,297

Prior application information:

Examiner: W. Bashore

Group Art Unit: 2176

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or

☒

Correspondence address below

Name	Kenneth L. Sherman				
Address	Myers Dawes Andras & Sherman LLP				
	19900 MacArthur Blvd, Eleventh Floor				
City	Irvine	State	CA	Zip Code	92612
Country	USA	Telephone	(949) 223-9600	Fax	(949) 223-9610

Name (Print/Type)	Kenneth L. Sherman	Registration No. (Attorney/Agent)	33,783
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Signature		Date	3/10/04
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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18351 U.S. PTO

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 770.00**Complete if Known**

Application Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Humpleman, et al.
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	SAM1.PAU.14D

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number 01-1960

Deposit Account Name MYERS DAWES ANDRAS & SHEI

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ 770.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
8	-20** = 0	18	0
1	-3** = 0	86	0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Kenneth L. Szymman	Registration No. (Attorney/Agent)	33,783	Telephone	949/223-9600
Signature		Date	3/10/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

CASE NO. SAM1.PAU.14.D

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Richard Humpleman, et al.)
Serial No.: Not yet assigned)
(Divisional of 09/104,297))
Filed: Herewith)
Mark: METHOD AND APPARATUS FOR) March 10, 2004
A HOME NETWORK AUTO-TREE)
BUILDER)
Irvine, California 92612)
_____)

TRANSMITTAL OF STATEMENT UNDER 37 CFR 3.73 (b)

Mail Stop Divisional Patent Application
Assistant Commissioner Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant herewith submits the attached Revocation and Substitution of Power of Attorney as its Statement required under 37 CFR 3.73(b). This Revocation was filed in a related application which is currently under examination.

Respectfully Submitted,
MYERS DAWES ANDRAS & SHERMAN

Kenneth L. Sherman, Esq.
Reg. No. 33,783
19900 MacArthur Blvd., 11th Floor
Irvine, California 92612
Tel: (949) 223-9600

CERTIFICATE OF MAILING BY "EXPRESS MAIL"
I hereby certify that this paper of fee is being deposited with the United States Postal Service on this date: 3-10-04, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV3194374005 addressed to the: Mail Stop Design Application, Commissioner for Patents, Alexandria, VA 22313-1450.
Evelyn Menzies
(Type or print name of person mailing paper)
R:\M-Z\SAM1\SAM1.PAU.14.D\Statement-trans.doc
(Signature of person mailing paper)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard Humpleman)	Examiner: W. Bashore
)	
For: METHOD AND APPARATUS)	Group Art Unit: 2776
FOR A HOME NETWORK AUTO-)	
TREE BUILDER)	
)	
Application No.: Not yet assigned)	
(Divisional of 09/104,297)	
)	
Filed: November 3, 2000)	
)	

REVOCATION AND SUBSTITUTION OF POWER OF ATTORNEY

Assistant Commissioner
for Patents
Washington, D.C. 20231

Dear Sir:

SAMSUNG ELECTRONICS CO., LTD., a Korean corporation, as assignee of the entire right, title and interest in the above-referenced United States divisional patent application (Divisional of Parent Application No. 09/104,297, assignment recorded July 29, 1998, at Reel/Frame 9363/0766), hereby revokes all previous powers of attorney and appoints the following to prosecute the subject application and to transact all business in the United States Patent and Trademark Office connected therewith:

Kenneth L. Sherman
Reg. No. 33,783
Sherman & Sherman
2029 Century Park East
Seventeenth Floor
Los Angeles, California 90067

Kindly send all further correspondence to Kenneth L. Sherman.

Dated: 11 September 2001

Kuen Pyo Hong
Name: Kuen-pyo Hong
Title: Senior Manager

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on August 2, 2001. 911710
Evelyn M. Penilla
(Type or print name of person mailing paper)
G:\KLS\SA\1\SAM1.0014A\REVOC.wpd
Evelyn M. Penilla
(Signature of person mailing paper)